PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF THE RECORDING OF A CHANGE

(PCT Rule 92bis.1 and Administrative Instructions, Section 422)

To:

MINOJA, Fabrizio
Bianchetti Bracco Minoja S.r.l.
Via Rossini, 8
I-20122 Milan
ITALIE

Date of mailing (day/month/year) 15 June 2000 (15.06.00)	
Applicant's or agent's file reference SCB 508 PCT	**IMPORTANT NOTIFICATION**
International application No. PCT/EP99/08481	**International filing date** (day/month/year) 08 November 1999 (08.11.99)

1. The following indications appeared on record concerning:

[X] the applicant [ ] the inventor [ ] the agent [ ] the common representative

Name and Address	State of Nationality	State of Residence
AQUISITIO S.P.A. Piazzale Aquileja 8 I-20144 Milano Italy	IT	IT
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

[ ] the person [ ] the name [X] the address [ ] the nationality [ ] the residence

Name and Address	State of Nationality	State of Residence
AQUISITIO S.P.A. Piazzale Aquileia 8 I-20144 Milano Italy	IT	IT
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

3. Further observations, if necessary:

4. A copy of this notification has been sent to:

[X] the receiving Office
[ ] the International Searching Authority
[ ] the International Preliminary Examining Authority
[X] the designated Offices concerned
[ ] the elected Offices concerned
[ ] other:

The International Bureau of WIPO **34, chemin des Colombettes** **1211 Geneva 20, Switzerland**	Authorized officer A. Karkachi
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

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NOTIFICATION OF ELECTION

(PCT Rule 61.2)

To:

Assistant Commissioner for Patents
United States Patent and Trademark Office
Box PCT
Washington, D.C.20231
ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 19 July 2000 (19.07.00)	
International application No. PCT/EP99/08481	**Applicant's or agent's file reference** SCB 508 PCT
International filing date (day/month/year) 08 November 1999 (08.11.99)	**Priority date** (day/month/year) 11 November 1998 (11.11.98)
Applicant BARBUCCI, Rolando et al	

1. The designated Office is hereby notified of its election made:

[X] in the demand filed with the International Preliminary Examining Authority on:

02 June 2000 (02.06.00)

[ ] in a notice effecting later election filed with the International Bureau on:

2. The election [X] was

[ ] was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO **34, chemin des Colombettes** **1211 Geneva 20, Switzerland**	Authorized officer Olivia RANAIVOJAONA
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

Form PCT/IB/331 (July 1992)

EP9908481

PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	**FOR FURTHER ACTION**	see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.
SCB 508 PCT		
International application No.	International filing date *(day/month/year)*	(Earliest) Priority Date *(day/month/year)*
PCT/EP 99/ 08481	08/11/1999	11/11/1998
Applicant		
AQUISITIO S.P.A		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 02 sheets.

[X] It is also accompanied by a copy of each prior art document cited in this report.

1. **Basis of the report**

   a. With regard to the **language,** the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

   [ ] the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

   b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

   [ ] contained in the international application in written form.

   [ ] filed together with the international application in computer readable form.

   [ ] furnished subsequently to this Authority in written form.

   [ ] furnished subsequently to this Authority in computer readble form.

   [ ] the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

   [ ] the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. [ ] **Certain claims were found unsearchable** (See Box I).

3. [ ] **Unity of invention is lacking** (see Box II).

4. With regard to the **title,**

   [X] the text is approved as submitted by the applicant.

   [ ] the text has been established by this Authority to read as follows:

5. With regard to the **abstract,**

   [X] the text is approved as submitted by the applicant.

   [ ] the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No. ---

   [ ] as suggested by the applicant.

   [ ] because the applicant failed to suggest a figure.

   [ ] because this figure better characterizes the invention.

   [ ] None of the figures.

INTERNATIONAL SEARCH REPORT

International Application No
EP 99/08481

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 C08B37/08 A61L27/20

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 C08B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	P. BULLPITT ET AL.: "New startegy for chemical modification of hyaluronic acid: Preparation of functionalized derivatives and their use in the formation of novel biocompatible hydrogels" J. BIOMED. MATER. RES., vol. 47, no. 2, 1999, pages 152-169, XP000913609 figure 2 -----	1-4,6,7, 9-11

☐ Further documents are listed in the continuation of box C.

☐ Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
30 May 2000	13/06/2000

Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Mazet, J-F

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PATENT COOPERATION TREATY

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
SCB508PCT		
International application No.	International filing date *(day/month/year)*	Priority date *(day/month/year)*
PCT/EP99/08481	08/11/1999	11/11/1998
International Patent Classification (IPC) or national classification and IPC C08B37/00		
Applicant AQUISITIO S.p.A. et al.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets, including this cover sheet.

   ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

   These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

   - I ☒ Basis of the report
   - II ☐ Priority
   - III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
   - IV ☐ Lack of unity of invention
   - V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations suporting such statement
   - VI ☐ Certain documents cited
   - VII ☐ Certain defects in the international application
   - VIII ☒ Certain observations on the international application

Date of submission of the demand	Date of completion of this report
02/06/2000	21.07.2000
Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Kairi, M Telephone No. +49 89 2399 8672

Form PCT/IPEA/409 (cover sheet) (January 1994)

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/EP99/08481

I. Basis of the report

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

D scription, pages:

1-18 as originally filed

Claims, No.:

1-11 as originally filed

2. The amendments have resulted in the cancellation of:

☐ the description, pages:
☐ the claims, Nos.:
☐ the drawings, sheets:

3. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)	Yes:	Claims 1-11
	No:	Claims
Inventive step (IS)	Yes:	Claims 1-11
	No:	Claims
Industrial applicability (IA)	Yes:	Claims 1-11
	No:	Claims

2. Citations and explanations

see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Since no prior art is cited in the International Search Report, novelty and inventive step are recognized for the claimed subject-matter of the present application.

Re Item VIII

Certain observations on the international application

In Claim 4 the formula $[CH_{2n}\text{-}O\text{-}CH_{2n}]_m$, wherein n is 2 and m is an integer from 2 to 10 is wrong (Article 6 PCT).

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 7 : C08B 37/00 — A2

(11) International Publication Number: WO 00/27887

(43) International Publication Date: 18 May 2000 (18.05.00)

(21) International Application Number: PCT/EP99/08481

(22) International Filing Date: 8 November 1999 (08.11.99)

(30) Priority Data:
MI98A002440 11 November 1998 (11.11.98) IT

(71) Applicant *(for all designated States except US)*: AQUISITIO S.P.A. [IT/IT]; Piazzale Aquileja 8, I–20144 Milano (IT).

(72) Inventors; and

(75) Inventors/Applicants *(for US only)*: BARBUCCI, Rolando [IT/IT]; Aquisitio S.p.a., Piazzale Aquileia, 8, I–20144 Milano (IT). RAPUOLI, Roberto [IT/IT]; Aquisitio S.p.a., Piazzale Aquileia, 8, I–20144 Milano (IT).

(74) Agent: MINOJA, Fabrizio; Bianchetti Bracco Minoja S.r.l., Via Rossini, 8, I–20122 Milan (IT).

(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

Without international search report and to be republished upon receipt of that report.

(54) Title: CROSS–LINKED HYALURONIC ACIDS AND MEDICAL USES THEREOF

(57) Abstract

New cross–linked hyaluronic acids obtainable by reaction of activated carboxylic groups of native linear hyaluronic acid, of extractive or biosynthetic source, with a polyamine, particularly a linear alkyl diamine. The cross–linked hyaluronic acids of the invention can optionally be sulphated or hemisuccinylated and are useful as substitutes of synovial fluid, vitreous humor, as controlled–release matrices forms medicaments, as healing and antiadhesive agents, and for the preparation of vascular prosthesis, biohybrid organs, healing devices, ophthalmic and otological compositions, prosthesis, implants and medical devices.

FOR THE PURPOSES OF INFORMATION ONLY

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"CROSS-LINKED HYALURONIC ACIDS AND MEDICAL USES THEREOF"

Field of the invention

The present invention concerns cross-linked hyaluronic acids, optionally hemisuccinylated or sulphated, the salts thereof with biologically suitable or pharmacologically active cations and the complexes thereof with heavy metals such as copper, zinc and iron.

The invention also concerns the use of said cross-linked hyaluronic acids, salts and complexes in the medical, pharmaceutical and cosmetic fields.

Background of the invention

Hyaluronic acid is a glycosaminoglycan consisting of disaccharide units of D-glucuronic acid and N-acetylglucosamino-2-acetamido-2-deoxy-D-glucose, connected by β (1 → 3) glycoside bonds.

Natural hyaluronic acid has linear, not cross-linked structure of molecular weight ranging from 50,000 to 8,000,000 D or more, depending on the source and extraction method.

Hyaluronic acid is present in the synovial liquid, connective tissue and vitreous humor of higher animals, as well as in some bacteria.

Compositions of sodium hyaluronate having various molecular weights (in the form of solutions having different viscosities, gels with different viscoelastic characteristics, sponges, films or membranes) are used in human medicine and surgery for instance as substitutes of synovial liquid, tissular antiadhesive agents, substitutes of vitreous humor, artificial tears, agents for the in vivo tissular re-constitution (for instance as extra-cellular matrices for the formation of bone segments, following the colonisation of osteoblasts and subsequent calcification; of connective-dermal tissues, following the

colonisation of fibroblasts), materials for the preparation of artificial skin useful in the treatment of burns or in plastic surgery; coating agents for biocompatible vascular prosthesis, carriers of pharmacologically by active ingredients in controlled-release formulations, etc.

In dermatology and cosmetology, in view of the viscoelastic and moisturising properties and of the high biocompatibility, said compositions are used both as bases for moisturising topical formulations and as invasive medical-surgical devices ("filling agents").

The use of natural, linear hyaluronic acid for said uses is however limited by its in vivo fast degradation by enzymatic systems such as hyaluronidase, glucosidase and glucuronidase, with subsequent decrease in the molecular weight and progressive impairment of the viscoelastic properties and, generally, of the physical characteristics of the final compositions and devices (mechanical strength, elasticity, pore size,) etc.

In order to overcome this problem, mainly with the purpose of increasing the range of compositions and their applicative flexibility, chemically modified hyaluronic acids have been proposed.

Cross-linking with polyfunctional epoxides (US 4716224, 4772419, 4716154), polyalcohols (US 4957744), divinylsulphone (US 4582865, 4605601, 4636524), aldehydes (US 4713448, 5128326, 4582568), biscarbodiimides (US 5356883), polycarboxylic acids (EP - A- 718312) has been disclosed.

Said cross-linked hyaluronic acids are used as biomaterials for implants, prosthesis and medical devices, as controlled-release matrices for medicaments, as healing, anti-adhesive and dressing agents.

The sulphation of non cross-linked hyaluronic acid is generally disclosed in US 5013724, mainly concerning the sulphation of heparines, heparans and dermatans for use as antithrombotic and anti-coagulant agents.

The hemisuccinylation recreation of hyaluronic acid (HY) has never been disclosed. An example of this functionalization is disclosed in EP - B-200574, claiming composite biomaterials consisting of succinylated collagen and chitosan.

The cross-linking of carboxyalkyl cellulose by means of di - or polyamines is disclosed in EP-A-566118 (Kimberly Clark Corp) for the preparation of absorbing materials with HY as cross-linking agent, by heating. Such a method appears to be economically advantageous and suitable for the large-scale productions required for this kind of products.

EP-A-462 426 (Fidia) discloses perforated biocompatible membranes and their uses as artificial skin. Collagen cross-linked with diamines and hyaluronic acid are generically cited as possible materials for said membranes.

Summary of the invention

It has now been found that new cross-linked hyaluronic acids obtainable by reaction of suitably activated carboxy groups of HY with a polyamine, as well as the salts and complexes with suitable organic or inorganic cations, have advantageous chemico-physical and biological properties for the biomedical and cosmetic uses.

The main chemico-physical and biochemical characteristics of the compounds of the invention are:

- high biocompatibility;
- high resistance to enzymatic degradation mainly after sulphation;
- high capacity to adsorb water, with formation of visco-elastic characteristics dependent on the cross-linking degree as well as on sulphation and/or hemi-succinylation degree;
- ability to chelate metal ions such as zinc or copper; said derivatives having very good stability.

The biological behaviour is new and surprising; it is known that sulphation (or supersulphation) of glycosaminoglycans such as heparin, dermatan sulphate, chondroitin and native hyaluronic acid is known to increase their anti-coagulant properties (inhibition of Xa and IIa factors and/or change of their ratio) with respect to the starting product (US 5013724).

The compounds of the invention, when sulphated, have a slight anticoagulant activity, whereas it is completely surprising the lack of platelet activation and aggregation (measured as antiadhesive activity; P.R.P. model in rabbits subjected to behavioural stress, described in "Abstract IL 15" – International Conference on Advances in Biomaterials and Tissue Engineering, 14-19 Juin 1998, Capri Italy) both for the cross-linked hyaluronic acid of the invention (with different cross-linking degrees) and for the corresponding sulphate esters; this property is totally absent in the natural hyaluronic acid and esther derivatives.

No polymeric materials for medical use up to now known apparently shares the same property.

Detailed disclosure of the invention

The invention concerns new cross-linked hyaluronic acids obtainable by reaction of activated carboxylic groups of native linear hyaluronic acid, of extractive or biosynthetic route, with a polyamine, particularly a linear alkyl diamine.

According to a preferred embodiment, the cross-linked hyaluronic acid of the invention is further subjected to sulphation and hemisuccinylation processes. The obtained products and their salts or complexes have entirely new properties (for instance, swelling, water motility within the gel; chemotactic activity on endothelial cells, viscoelastic properties).

Said esterification processes are carried out by known methods (use of

reagents pyridine/SO_3; chlorosulphonic acid; succinic anhydride, in homogeneous or heterogeneous phase, at pH from 6.5 to 8).

Examples of the hemisuccinylation process for collagen are reported in WO 88/10123 and in US 4493829.

The polyamine to be used as cross-linking agent according to the invention is preferably a diamine of formula R_1NH-A-NHR_2 wherein A is a C_2 - C_{10} linear or branched alkylene chain, preferably a C_2 - C_6 chain, optionally substituted by hydroxy, carboxy, halogen, alkoxy and amino groups; a polyoxyalkylene chain $[(CH_2)_n$-O-$(CH_2)_n]_m$ wherein n is 2 or 3, m is an integer from 2 to 10; a C_5-C_7 cycloalkyl group; an aryl or hetaryl group, preferably 1, 4 or 1,3 disubstituted benzene; R_1 and R_2, which are the same or different, are hydrogen, C_1-C_6 alkyl, phenyl or benzyl groups.

Preferred meanings of A are C_2 - C_6 alkylene or a chain $[(CH_2)_n$-O-$(CH_2)_n]_m$. R_1 and R_2 are preferably hydrogen.

The polyamine is reacted with hyaluronic acid or salts thereof, the carboxylic groups of which have been previously activated.

The activation may be carried out with conventional methods; for instance, and preferably, those commonly used, in anhydrous aprotic solvent, to form amide bonds in peptide synthesis such as carbonyldiimidazole; carbonyl-triazole; hydroxybenzotriazole; N-hydroxysuccinimide; p-nitrophenol + p-nitrophenyltrifluoro acetate, chloromethylpyridylium iodide; preferably chloromethypyridylium iodide and like; these activators allow the best yields and the highest reproducibility in terms of cross-linking degree.

The hyaluronic acid is preferably salified with a lipophilic cation, for instance tetralkylammonium or other lipophilic organic bases able to induce the suitable solubility in the polar aprotic solvent such as dimethylformamide, tetrahydrofuran or the like.

The transformation of inorganic salts such as sodium into suitable organic cations may be carried out by well known ion-exchange methods in homogeneous phase or by precipitation of the acid component, its recovery and subsequent salification with the desired organic base.

The activation reaction of the carboxy groups is usually carried out in homogeneous phase and in anhydrous polar aprotic solvent.

The cross-linking polyamine is added to the solution of the activated ester in the same anhydrous solvent, keeping the temperature from 0 to 30°C. The reaction times range from 1 to 12 hours, depending on the presence of suitable bases such as triethylamine.

In general, the desired final product is recovered by addition of a different solvent under reduced pressure, followed by conventional work-up.

The cross-linking degree may be comprised within wide limits and may be adjusted by changing the amount of the carboxy-activating agent, the activation and the cross-linking reactions being practically quantitative.

As a consequence, the desired cross-linking degree (C.L.D.: percent of carboxylic groups involved in the cross-linking) is perfectly reproducible, as shown by the N.M.R. data. The final products obtained under similar operative conditions have therefore constant characteristics.

The starting hyaluronic acid may be any hyaluronic acid having molecular weight from about 5.000 to 8,000,000 D, preferably from 10.000 to 200,000 D, extracted from conventional sources or obtainable by fermentation of microorganisms of the group Streptococcus or other engineered strains.

The cross-linked hyaluronic acid of the invention may be subjected to sulphation reaction with a suitable reagent, preferably the pyridine/sulphur trioxide complex in dimethylformamide.

The reaction is carried out in heterogeneous phase at a temperature of

0-10°C for reaction times ranging from about 0,5 to about 6 hours.

The obtainable sulphation degree may be comprised within wide limits and may be adjusted by changing the reaction time and the temperature.

Generally, the sulphation degree (defined as eq. Sulphate groups/g) may range from $1x10^{-6}$ to $6x10^{-6}$, preferably about $2x10^{-6}$ eq./g for a C.L.D. = 0.5.

The cross-linked hyaluronic acid of the invention may also be subjected to hemisuccinylation reactions in known conditions (aqueous heterogeneous phase, under strong stirring, addition of solid succinic anhydride in subsequent portions, in ratios from 1:1 to 1:5 by weight; keeping the pH from 7 to 8.5 with alkali, at temperatures ranging from 5 to 30°C). The hemisuccinylation degree may be comprised within wide limits depending on the following parameters: reaction time and temperature; stirring speed of the polyphasic system and addition rate of solid succinic anhydride. By keeping said parameters constant, the reaction gives reproducible products. The cross-linked hyaluronic acids, optionally sulphated or hemisuccinylated, of the invention show the ability to form complexes with metal ions such as copper, zinc, iron.

These complexes may be easily obtained by dissolving or by dispersing until complete swelling the hyaluronic acid derivative in water and adding under stirring preferably at room temperature, a concentrated solution of an organic or inorganic salt of copper, zinc or iron, for instance $CuCl_2$, $ZnCl_2$, or $Fe_2(SO_4)_3$; after 12-24 hours under stirring, the complex is recovered by centrifugation or precipitation following change of solvent (e.g. addition of ethanol or acetone) or evaporation under reduced pressure; the recovered crude product is thoroughly washed with distilled water so as to remove the excess ion.

The complexes are then freeze-dried.

The content of metal ions depends on the used operative conditions: polymer to ion molar ratios, concentration and pH of the solution; reaction times and particularly the cross-linking degree. It may reach the maximum volume of 1 metal ion per disaccharide unit not involved in the cross-linking.

An important advantage of the invention consists in the possibility of obtaining, by suitably changing the cross-linking degree and/or the sulphation or succinylation degree, hyaluronic acid derivatives in a wide range of different forms, characterised by different properties (such as visco-elasticity, metal ions, ability to form hydrogels, films, sponges, mechanical strength etc.).

This allows the use of the hyaluronic acid derivatives of the invention in several medical and pharmaceutical fields, in the human or veterinary field:

1) as intraarticular substitutes of the synovial liquid for the treatment of osteoarthritic conditions;
2) as vitreous humor substitutes for the treatment of pathologies and side-effects connected to ophthalmic surgery;
3) as base of artificial tears formulation, suited for the therapy of dry eye;
4) as controlled - release matrices of medicaments (e.g. antiinflammatories, antibiotics, β-adrenergic agonists and antagonists, aldose reductase inhibitors, anti-acne, antiallergic, anti-alopecia, antineoplastic, antiglaucoma, anti-itching, anti-psoriasis, anti-seborrhea, anti-ulcer, antiviral agents, growth factors etc.) by simple inclusion into the hydrogels obtained from the compounds of the invention. Alternatively to the in inclusion process, the medicament may be bound by covalent bonds to the

hyaluronic acid matrices, by means of:

a) esterification or amidation of COOH not involved in the cross-linking with polyamines, when the medicament is an alcohol or an amine;

b) esterification with the free hydroxy groups of hyaluronic acid derivatives when the medicament has free carboxy groups.

The products under a) may be obtained using the same activation method of the carboxy groups described above in a carefully anhydrous medium or by transesterification.

5) For the preparation of device for wound or skin ulcers healing in form of films of different thickness, more or less permeable to gases, sponges etc. Said devices preferably contain suitable drugs such as antibiotics, healing factors. They are also useful in the culture of epithelial cells, keratinocytes etc.;

6) For all the applications for which the use of known hyaluronic acids has already been proposed, for instance the preparation of solid or semi-solid forms or moldable form for the production of vascular prosthesis (antiadhesive dressings of blood vessels, artificial heart valves etc.); of biohybrid organs (artificial pancreas, liver); of ophthalmic products (lens substitutes, contact lens); of otological products; generally of anti-adhesive implants, to be used in abdominal, gynaecological, plastic, orthopaedic, neurological, ophthalmological, thoracic, otorhinolaryngological surgery; of medical device such as stents, catheters, cannulas and the like.

The uses of cross-linked hyaluronic acid and of biomaterials obtained therefrom are known and described, for instance, in WO 97/39788, WO 97/22629, WO 97/18244, WO 97/7833, EP 763754, EP 718312, WO 96/40005, WO 96/33751, US 5532221, WO 95/1165 e EP 320164.

The use of the cross-linked hyaluronic acids of the invention in cosmetic dermatology is of particular interest, for instance as moisturizing agents, bases of various cosmetological formulations, injectable filling agents etc.

The formal products obtained from the cross-linked hyaluronic acid derivatives of the invention may by subjected to sterilisation processes (for instance by heating to 120°C or by means of ethylene oxide) without any change in the technological properties, which is of course a further advantage provided by the present invention.

The present invention is described in more detail in the following examples.

EXAMPLE 1

Hyaluronic acid sodium salt ($1x10^{-3}$ mol., with reference to the disaccharidic unit) were transformed in TBA salt, according to one of the following methods:

a) 1% aqueous solution of sodium hyaluronate is transformed in H^+ form by H^+ cationic strong resin (Amberlite IR 120); the final solution is treated by a 0,5% solution of TBA-OH to about pH=9.

b) 1% aqueous solution of sodium hyaluronate is transformed in TBA salt solution by treating with a cationic weak resin in TBA^+ form. (Amberlite IRC 50)

In both cases, the final solutions are liophylised. The TBA salt is then dissolved in 15 ml of anhydrous DMF, under N_2, and – at 0°C- 0,02 g of cloromethypyridylium Iodide (CMPJ) in 2 ml of anhydrous DFM, are added dropwise to the stored solution of TBA.salt.

The reaction mixture was then added with 0.1 ml of triethylamine and, then, dropwise, with a solution of 1,3-diaminopropane (d= 0.88, in large excess, so as to make cross-linking of the activated carboxy groups easier)

in 2 ml of anhydrous DMF. When the addition was over, the reaction mixture was stirred for at least 30' and the solvent was then removed under reduced pressure, the residue was then taken up with DMF, which was subsequently removed by distillation; the residue was then treated with ethanol, ethanol-water and finally with water.

The product was then lyophilised and the residue subjected to analysis.

I.R. (film): 1630 cm^{-1} (-CO-NH); 1740 cm^{-1} (-COOH, polysaccharide); 3200 cm^{-1} (-NH-).

SD (Swelling Degree, in water and r.t., after 15'; gravimetric determination; calculated according to: $SD = \frac{W_s - Wd}{Wd} \cdot 100$, where :

W_s = weight of hydrated gel; Wd = weight of dry gel): 31.000

Cross-linking degree: 0.05 (5% of initially available carboxy groups).

EXAMPLE 2

According to the procedure and conditions reported in example 1, using the same HY and the same activating agent but 1,6-diaminohexane instead of 1,3-diaminopropane, a cross-linked hyaluronic acid having cross-linking degree of 0.05 was obtained.

I.R. (film): 1630 cm^{-1} (-CO-NH); 1740 cm^{-1} (-COOH polysaccharide); 3200 cm^{-1} (-NH-).

EXAMPLE 3

According to the procedure and conditions used in example 1, using as a cross-linking agent 0,0'-dis-(2-aminopropyl) PEG 500, a hyaluronic acid having a cross-linking degree of 0.05 was obtained.

I.R. (film): 1630 cm^{-1} (-CO-NH); 1740 cm^{-1} (-COOH polysaccharide); 3200 cm^{-1} (-NH-).

SD = 31.000

EXAMPLE 4

0.6 g of hyaluronic acid tributylammonium salt ($1x10^{-3}$ mol., with reference to the disaccharide unit) were dissolved under stirring in 30 ml of DMF under nitrogen. 0.08 g of chloromethylpyridylium iodide (3.5×10^{-4} mol) dissolved in 2 ml of DMF were added dropwise to the stirred solution kept at 0°C. The molar ratio was therefore about 3/1.

After 20 minutes 2 ml of 1,3-diaminopropane (0.024 mol) were added, followed immediately by 0.5 ml of triethylamine. A solid, gelatinous product was obtained, the product was then swelled with water and washed again with ethanol.

The final product, after lyophilisation, shows at the scanning microscope an irregular pattern with smooth zones alternating to spongy zones.

The cross-linking degree was 0.3 (30% of initially available carboxy groups)

I.R. (film): 1740 cm^{-1} (-COOH); 1630 cm^{-1} (-CO-NH); 1610 cm^{-1} (-COO-); 1560 cm^{-1} (-CO-NH-)

EXAMPLE 5

0.6 g of hyaluronic acid tributylammonium salt (HY TBA) ($1x10^{-3}$ mol., with reference to the disaccharide unit) were dissolved under stirring in 30 ml of DMF under nitrogen. 0.15 g of chloromethylpyridylium iodide (CMPJ) ($6x10^{-6}$ mol) dissolved in 2 ml of DMF were added dropwise to the solution, kept at 0°C. The molar ratio was 2HY.TBA:1 CMPJ. After 20 minutes, 2 ml of 1,3 diaminopropane (0.024 mol.) were added to the solution.

0.5 ml of triethylamine were added thereafter.

A solid, gelly-like product was obtained and thoroughly washed with DMF.

After evaporating DMF, the product was swelled in water and washed with ethanol before lyophilization.

The obtained product had a cross-linking degree of 0.5 and showed at the scanning microscope a grainy aspect interspaced by large meshes. At higher magnitudes, the two morphologies appear identical and show round-shaped protrusions a few microns in diameter.

IR (film): 1740 cm^{-1} (-COOH); 1630 cm^{-1} (-CO-NH-); 1610 cm^{-1} ($-COO^-$); 1560 cm^{-1} (-CO-NH-);

The gels were subjected to swelling in PBS and the max swelling ability was evaluated.

SD= 23.500

NMR = (13 C; ppm): 29.3 and 39.8 ($-\overset{1}{C}H_2-\overset{2}{C}H_2-\overset{3}{C}H_2-$ propanediamine link); 172.5 ($-\overset{\bullet}{\underset{\underset{O}{\|}}{C}}-NH-CH_2-CH_2-CH_2-$)

The rheological properties evaluated on Bohlin VOR Rheometer, at the temperature of 23±0.1°C, show that the dynamic elastic module G' (100Pa at 10Hz) identical at the two considered concentrations (10 and 20 mg/ml) is always higher than the viscous dynamic module (G" 40 Pa for 20 mg at 10Hz and 20 Pa for 10 mg at 10Hz).

EXAMPLES 6 - 9

According to the methods disclosed in the previous examples, the cross-linked hyaluronic acid derivatives having the characteristics summarised in the following table 1, were obtained, starting from $1x10^{-3}$ mol (0.6 g) of hyaluronic acid tributylammonium salt.

The obtained derivatives had the following properties

TABLE 1

Ex	Cross-linking agent (mol)	Amount (g) of CMPJ (mol)	Cross-linking degree	SD	NMR (13) (ppm)	I.R. (film) (cm^{-1})	Aspect at the scanning microscope
6	1,3-propanediamine (0.024)	0,6g (1.210^{-3})	(100%)	13.200	29.3/39.8 (-CH_2-CH_2-CH_2- propanediamine link); 172.5 (-C(=O)-NH-CH_2-CH_2-CH_2-)	1630 (-CO-NH-); 1560 (-CO-NH-);	Homogeneouns, ondulated morphology.
7	0,0'-1-bis-(-2-diaminopropyl) PEG 500 (0.022)	0,15g ($6x10^{-4}$)	(50%)	9.000			Alternating smooth areas and meshes, circular protrusions a few microns in size.
8	0,0'-bis (2-aminopropyl) - PEG 800 (0.022)	0,15g ($6x10^{-4}$)	(50%)	6.100			Two morphologically different zones, a first one ondulated and a second with hole-like structures.
9	1,6-diaminohexane (0.023)	0,15g ($6x10^{-4}$)	(50%)	8.000	169.46(-CO-NH- of cross-linking); 74.04/76.80/83.17/80.41(-CH2- of cross-linking arm)	1740 (-COOH); 1630 (-CO-NH-); 1610 (-COO^-); 1560 (-CO-NH-);	Smooth surface with protrusions having a few microns in size.

EXAMPLE 10: Sulphation of 50% cross-linked HY,

The derivative obtained in example 5 was dispersed in 5 ml DMF under strong stirring and nitrogen atmosphere.

A solution of 1 g of SO_3/pyridine in mol of DMF was added at 0°C and stirred for 3 hours. The reaction was blocked by adding an excess of H_2O (50 ml) and the pH adjusted to 9 with 0.1M NaOH.

The product was thoroughly washed with ethanol and H_2O and then lyophilized.

The IR spectrum shows, in addition to the bands of the starting product, a peak at 1260 cm^{-1} and a stronger band at 1025 cm^{-1}.

The gel swells in PBS with SD = 33.000. Higher resolution 13C NMR spectrum shows the signals in H_2O at 37°C reported in table 2. The intensity of the NMR signals at 29.3 and 38.8 ppm (-CH_2-) and the signal at 172.5 ppm (CONH) confirm a cross-linking degree of about 50%.

The rheological properties are characterised by dynamic elastic modules G' (2500Pa with 20 mg and 1000 Pa with 10 mg at 10Hz) which are always higher than the dynamic viscous modules G" (600Pa with 20 mg and 150 Pa with 10 mg at 10Hz) and much higher than the corresponding values obtained with non-sulphated HY (13 at 50% - example 5). This compound has a thrombin time (TT) higher (61±5") than the control (14.0") and the corresponding not cross-linked (14.6").

The compound was also active in the PRP test using stressed rabbit.

TABLE 2

Table: 13C Chemical shift

C-1	C-2	C-3	C-4	C-5	x-C=O	y-CH_3	
103.5	57.3	85.4	71.3	78.7	178.0	25.3	ppm
C-1'	C-2'	C-3'	C-4'	C-5'	6-C=O		
105.9	75.2	76.4	82.8	78.6	176.2		ppm
1-CH2	2-CH2	3-CH2	6'-C=O	CROSS- LINKING			
39.8	29.3	39.8	172.5				ppm

EXAMPLE 11: Using the same methodology, the sulphated derivatives of 50% cross-linked products according to example 7,8, and 9, have been synthetized.

Colorimetric characteristics of the sulphated derivatives are reported in table 3 together with that of the products deriving from examples 5 and 10.

TABLE 3

CROSSLINKED POLYMER (50% CROSS.LINKING DEGREE)	ΔHa [J/g]	Tg [°C]	ΔHb [J/g]	Wt % water
C.L.Hyal – 1,3 (Ex. 5)	276	51	42	12
C.L.HyalS –1,3 (Ex. 10)	357	64	53	16
C.L.Hyal – 1,6 (Ex. 9)	327	64	58	16
C.L.HyalS – 1,6	465	64	65	20
5 C.L.Hyal – P500.2NH_2 (Ex. 7)	239	45	72	10
6 C.L.HyalS – P500.2NH_2	384	69	113	16
7 C.L.Hyal – P800.2NH_2 (Ex. 8)	179	73	30	10
8 C.L.HyalS – P800.2NH_2	206	76	52	10
Hyal ITBA	164	-	130	5

ΔHa [J/g]: water vaporization henthalpy

Tg [°C]: enthalpy for thermal degradation process

ΔHb [J/g]: glass transition temperate

Wt % water: % of water content, based on ΔHa

EXAMPLE 12: Preparation of complexes of Cu, Zn and Fe.

100 mg of lyophilized gel of the example 5 were added, under stirring and at room temperature, to 200 ml of a concentrated solution of copper (II) chloride in distilled water. The suspension was stirred for 24 hours, and the complex was precipitated by addition of ethanol. After centrifugation, the residue was washed repeatedly with water and ethanol to remove the excess ions.

The final gel, blue-green in color, was lyophilized and analyzed.

The same procedure was carried out using $ZnCl_2$ and $FeCl_2$.

The analysis (EDAX, polarography, HCl 0.1 N titration, atomic adsorption) shows a copper content of 0.5 mol/disaccharide units.

CLAIMS

1. Cross-linked hyaluronic acids obtainable by reaction of the carboxylic groups of hyaluronic acid and a polyamine.

2. Cross-linked hyaluronic acids according to claim 1 wherein the polyamine is a diamine.

3. Cross-linked hyaluronic acids according to claim 2 wherein the diamine has the formula

$$R_1NH\text{-}A\text{-}NHR_2$$

wherein A is a C_2 - C_{10} linear or branched alkylene chain, preferably a C_2 - C_6 chain, optionally substituted by hydroxy, carboxy, halogen, alkoxy and amino groups; a polyoxyalkylene chain $[(CH_2)_n\text{-}O\text{-}(CH_2)_n]_m$ wherein n is 2 or 3, m is an integer from 2 to 10; an aryl or hetaryl group, preferably 1, 4 or 1,3 disubstituted benzene; R_1 and R_2, which are the same or different, are hydrogen, C_1-C_6 alkyl, phenyl or benzyl groups.

4. Cross-linked hyaluronic acids according to claim 3 wherein A is a linear C_2 - C_6 alkylene or a chain of formula

$$[CH_{2n}\text{-}O\text{-}CH_{2n}]_m$$

wherein n is 2 and m is an integer from 2 to 10.

5. Cross-linked hyaluronic acids according to any one of claims 1 to 4 wherein the hydroxy groups are sulphated or hemisuccinylated.

6. Cross-linked hyaluronic acids according to any one of the previous claims in the form of gel.

7. Cross-linked hyaluronic acids according to any one of the previous claims in solid or semi-solid forms.

8. Complexes of zinc, copper or iron of claims 1-7.

9. The use of cross-linked hyaluronic acids derivatives of claims 6 and 8 as substitutes of synovial fluid, vitreous humor, as controlled-release matrices forms medicaments, as healing and antiadhesive agents.

10. The use of cross-linked hyaluronic acids derivatives of claim 7 for the preparation of vascular prosthesis, biohybrid organs, healing devices, ophthalmic and otological compositions, prosthesis, implants and medical devices.

11. Biomaterials comprising the cross-linked hyaluronic acids of claims 1 - 8.

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(57) Abstract

New cross–linked hyaluronic acids obtainable by reaction of activated carboxylic groups of native linear hyaluronic acid, of extractive or biosynthetic source, with a polyamine, particularly a linear alkyl diamine. The cross–linked hyaluronic acids of the invention can optionally be sulphated or hemisuccinylated and are useful as substitutes of synovial fluid, vitreous humor, as controlled–release matrices forms medicaments, as healing and antiadhesive agents, and for the preparation of vascular prosthesis, biohybrid organs, healing devices, ophthalmic and otological compositions, prosthesis, implants and medical devices.

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,X	P. BULLPITT ET AL.: "New startegy for chemical modification of hyaluronic acid: Preparation of functionalized derivatives and their use in the formation of novel biocompatible hydrogels" J. BIOMED. MATER. RES., vol. 47, no. 2, 1999, pages 152-169, XP000913609 figure 2 -----	1-4,6,7, 9-11

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